KANSAS PERMISSIBLE INVESTMENTS

Complete the dollar amounts for each of the below categories for both "Last Quarter End Date" (unaudited) and "Fiscal Year End Date" columns (Lines 1-14). **Include all supporting documents for permissible investments held.**

Provide aggregate "outstanding payment liabilities in the United States" for corresponding dates (Line 15) per K.S.A. 9-555(b)(22)(A) and **provide supporting documentation with this form.**

		Last Quarter End *Most recent quarter-end date unaudited*	Fiscal Year End *Year-end date to match last audited financial statement*
Types of Permissible Investments[1] *K.S.A. 9-589(a) and (b)*	Dates:		
Line 1. Cash, including demand deposits, savings deposits and funds in accounts held for the benefit of the licensee's customers in a federally insured depository financial institution and cash equivalents including ACH items in transit to the licensee and automated clearing house items or international wires in transit to a payee, cash in transit via armored car, cash in smart safes, cash in licensee-owned locations, debit card or credit card funded transmission receivables owed by any bank or money market mutual funds rated AAA by Standard & Poor or the equivalent from any *eligible rating service.*[2]			
Line 2. Certificates of deposit or senior debt obligations of a federally insured depository Institution.			
Line 3. An obligation of the United States or a commission, agency or instrumentality thereof, an obligation that is guaranteed fully as to principal and interest by the United States or an obligation of a state or a governmental subdivision, agency or instrumentality thereof.			
Line 4. A letter of credit that meets all the requirements outlined in K.S.A. 9-589(a)(4).			
Line 5. 100% of the surety bond provided for under K.S.A. 9-587, and amendments thereto, that exceeds the average daily money transmission liability in Kansas.			
Line 6. Receivables payable to a licensee from the licensee's authorized delegates in the ordinary course of business that are less than seven days old up to 50% of the aggregate value of the licensee's total permissible investments. **Receivables payable to a licensee from a single authorized delegate in the ordinary course of business may not exceed 10% of the aggregate value of the licensee's total permissible investments.**			

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[1] Assets that are pledged and/or otherwise encumbered are not "permissible investments" and cannot be included in this form.
[2] "Eligible rating service" means any nationally recognized statistical rating organization that has been registered by the securities and exchange commission or any organization designated by the commissioner through order or rules and regulations as an eligible rating service.

	Last Quarter End *Most recent quarter-end date unaudited*	Fiscal Year End *Year-end date to match last audited financial statement*
The following investments (Lines 7-12) are permissible up to 20% per category and up to 50% combined of the aggregate value of the licensee's total permissible investments.		
Line 7. A short-term investment of up to six months, bearing an *eligible rating*.[3]		
Line 8. Commercial paper bearing an *eligible rating*.		
Line 9. A bill, note, bond or debenture bearing an *eligible rating*.		
Line 10. United States tri-party repurchase agreements collateralized at 100% of more with United States government or agency securities, municipal bonds or other securities bearing an *eligible rating*.		
Line 11. Money market mutual funds rated less than AAA and equal to or higher than A- by Standard & Poor or the equivalent from any other eligible rating service.		
Line 12. A mutual fund or other investment fund composed solely and exclusively of one or more permissible investments listed in **lines 1-3.**		
Line 13. Cash, including demand deposits, savings deposits and funds in such accounts held for the benefit of the licensee's customers, at foreign depository institutions are permissible up to **10% of the aggregate value of the licensee's total permissible investments** if the licensee has received a satisfactory rating in the licensee's most recent examination and the foreign depository institution: (A) Has an *eligible rating*; (B) is registered under the foreign account tax compliance act; (C) is not located in any country subject to sanctions from the office of foreign asset control; and (D) is not located in a high-risk or non-cooperative jurisdiction as designated by the financial action task force.		
Line 14. TOTAL PERMISSIBLE INVESTMENTS HELD		
Line 15. Total Outstanding Payment Liabilities in the United States *K.S.A. 9-555(b)(22)(A)*		

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[3] "Eligible rating" means a credit rating from any of the three highest rating categories provided by an eligible rating service. Each rating category may include rating category modifiers such as plus or minus for Standard & Poor or the equivalent for any other eligible rating service. "Eligible rating" shall be determined as follows: (A) Long-term credit ratings shall be deemed eligible if the rating is equal to A- or higher by Standard & Poor or the equivalent from any other eligible rating service. (B) Short-term credit ratings are deemed eligible if the rating is equal to or higher than A-2 or SP-2 by Standard & Poor or the equivalent from any other eligible rating service. If ratings differ among eligible rating services, the highest rating shall apply when determining whether a security bears an eligible rating.

Certification Statement:

I hereby certify that the information contained in this form is accurate and true to the best of my knowledge and that all required information and related documentation are reported. Further, I understand that failure to file complete and accurate information with the commissioner may result in administrative action against a licensee or its control persons, an order, and possible criminal and/or civil penalties in accordance with K.S.A. 9-555 through K.S.A. 9-596 and amendments thereto.

Signature of company representative _____**Dated** _____.

This document has been prepared by:

    Name and title _____**Date of filing** _____

    Email address _____**Phone number** _____

<u>Notes and Comments:</u>