

Office of the State Bank Commissioner

APPLICATION TO Establish a New Bank Branch Office Relocate an Existing Bank Branch Office Relocate a Main Bank Office

Mark the box which applies:

New Branch, In-State (K.S.A. 9-1111)

New Branch, Out-of-State (Special Order 1997-2 and K.S.A. 9-1111)

Relocate an Existing In-State Branch (K.S.A. 9-1111)

Relocate an Existing Out-of-State Branch (Special Order 1997-2 and K.S.A. 9-1111)

Relocate a Main Office (K.S.A. 9-814)

Relocate a Main Office and Retain Existing Office as a Branch (K.S.A. 9-814 and K.S.A. 9-1111)

□ Interchange of Main Office and Branch Office (K.S.A. 9-814 and K.S.A. 9-1111)

(Name of Bank)

(Current Street Address and Mailing Address of Main Office)

(City, County, State, Zip Code)

(Name, Telephone Number and E-mail Address of Person to Contact Regarding Application)

An original application and the applicable filing fee should be submitted to:

Office of the State Bank Commissioner 700 SW Jackson Street, Suite 300 Topeka, Kansas 66603-3796

Application Filing Fee – Remittance of a \$750 filing fee is required pursuant to K.S.A 9-1726. The cost of on-site examinations or investigations in connection with this application will be the responsibility of the applicant.

The application is available to members of the public, subject to limitations imposed by federal or state statutes. If the applicant is of the opinion that disclosure of commercial or financial information would likely result in substantial harm to the competitive position of the bank, or that disclosure of information of a personal nature would result in a clearly unwarranted invasion of personal privacy, confidential treatment of such information may be requested. Information for which confidential treatment is requested should be (1) specifically identified in the public portion of the application (by reference to the confidential section), (2) separately bound, and (3) labeled "Confidential."

Please submit the following information with regard to the proposed new location. Separate pages should be attached, where necessary. The State Bank Commissioner may request additional information, if deemed necessary.

- 1. Provide the address of the proposed new office. In the case of a main office or branch relocation, provide the addresses of the existing office and proposed office, and the distance between the offices. *If a branch office is being relocated less than one mile from the existing location, please contact the OSBC prior to filing an application.*
- 2. Describe the geographic area to be served by the proposed location.
- 3. Provide the complete official name for the proposed new office. *The name selected shall not be the name of any other bank or branch bank (a) doing business in the same city or town; or (b) within a 15-mile radius of the proposed location.*
- 4. Provide a physical description of the proposed new office including a statement as to whether the proposed premises and fixed asset costs will comply with applicable statutory limits. If additional costs of the proposed new office, when added to current fixed assets, equal more than 50% of the bank's capital accounts as defined, a letter requesting permission to exceed the 50% limit must be submitted to the State Bank Commissioner. The letter should also explain how compliance with K.S.A. 9-1102(e) will be achieved.
- 5. Describe any additions and/or changes in staff and management for the proposed new office.
- 6. Explain how the proposed new office will be useful and the chances for success, including the impact the new office will have on the overall condition of the bank.

If relocating a main office to a different city, complete the following, otherwise go to #8:

- 7. Provide a five year projection of deposits after the relocation. Pursuant to K.S.A. 9-901a(e) any bank that relocates its main office from one city to another shall have equity capital equal to the greater of \$3,000,000 or 8% of estimated deposits five years after the relocation. *If the main office relocation is part of an interchange of the main office with a branch location that has been in operation for at least one year, this capital requirement does not apply.*
- 8. Provide a letter from the Historic Preservation Department of the State Historical Society indicating if the proposed new office will be in a location that is included in or eligible for inclusion, in the National Register for Historical Places.
- 9. Provide a copy of the application made to the bank's primary Federal regulator.
- 10. Include proof of publication, regarding the notice of application. A sample notice is available at https://www.osbckansas.org/banking-trust/application-forms/.
- 11. Provide any additional information or comments, not requested in the above items, if it is deemed pertinent to support the need for the proposed new office.

Questions concerning this application may be addressed to:

Tanner Howard, Applications and Statistics Manager Office of the State Bank Commissioner 700 SW Jackson Street, Suite 300 Topeka, Kansas 66603-3714 785-379-3870 In support of this application, applicant has hereby made the aforementioned statements and representations, and submits this information, based upon the factors as outlined for the purpose of inducing the State Bank Commissioner of the State of Kansas to approve the application for the previously listed Kansas bank.

Applicant hereby authorizes the State Bank Commissioner of the State of Kansas to conduct any investigation, examination or review of the condition of the applicant bank and to provide any other material as requested by the State Bank Commissioner.

ATTESTATION

Applicant affirms that the information contained in this application has been prepared at the direction of the Board of Directors and that it is accurate and complete to the Applicant's best knowledge and belief.

(Applicant)

By_____ (Authorized Officer)

Title

ATTEST:

(Corporate Secretary)